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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/079.699

02/19/2002

Charles A. Nicolette

GZ 2104.20

CONFIRMATION NO. 9899

FORMALITIES LETTER

OC000000007923471

4TENT 2 **30089** GENZYME CORPORATION C/O MCCUTCHEN, DOYLE, BROWN, & ENERSEN MCCUTCHEN, DOYLE, BROWN & ENERSEN, LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111

Date Mailed: 04/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

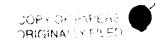
SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FE VOS	Application Number	10/079,699 February 19, 2002 Charles A. NICOLETTE 1614		
TRANSMITTAL FORM	Filing Date			
	First Named Inventor			
	Group Art Unit			
AITEN (so the used for all correspondence after filing)	Examiner Name	Unassigned		
Total number of Pages in this submission 12	Attorney Docket No.	GZ 2104.20		

Box: Missing Parts Commissioner for Patents Washington, D.C. 20231

ENCLOSURES (check all that apply)							
☑ FEE TRANSMITTAL FORM	☐ ASSIGNMENT PAPERS	☐ AFTER ALLOWANCE					
☐ FEE ATTACHED	(FOR AN APPLICATION)	COMMUNICATION TO GROUP					
☐ AMENDMENT/RESPONSE	☐ Drawing(s)	☐ APPEAL COMMUNICATION TO BOARD					
☐ AFTER FINAL	☐ LICENSING-RELATED	OF APPEALS AND INTERFERENCES					
☐ Affidavits' Declaration(s)	PAPERS						
,	□ PETITION ROUTING SLIP						
 EXTENSION OF TIME	(PTO/SB/60) AND	☐ APPEAL COMMUNIATION TO GROUP					
,	ACCOMPANYING	(APPEAL NOTICE, BRIEF, REPLY BRIEF)					
	PETITION)						
☐ EXPRESS ABANDONMENT REQUEST	☐ TO CONVERT A	☐ PROPRIETARY INFORMATION					
·	Provisional						
	APPLICATION						
☐ INFORMATION DISCLOSURE	☐ POWER OF ATTORNEY,	☐ STATUS LETTER					
STATEMENT W/1449	REVOCATION CHANGE OF						
	CORRESPONDENCE ADDRESS						
☐ CERTIFIED COPY OF PRIORITY	☐ TERMINAL DISCLAIMER	☑ ADDITIONAL ENCLOSURE(S)					
DOCUMENT(S)		(PLEASE IDENTIFY BELOW):					
■ RESPONSE TO MISSING PARTS/	☐ SMALL ENTITY STATEMENT	CERTIFICATE UNDER 37 C.F.R. § 3.73 (b) W/COPY OF					
INCOMPLETE APPLICATION	☐ REQUEST FOR REFUND	SOLE ASSIGNMENT, UTILITY DECLARATION,					
RESPONSE TO MISSING PARTS		PROSECUTION BY ASSIGNEE, AND POWER OF					
under 37 CFR 1.52 or 1.53		ATTORNEY AND RETURN POSTCARD					
COPY OF PTO-1533							
☐ ISSUE FEE TRANSMITTAL	REMARKS:						
McCutchen, doyle, brown & eners	EN LLP DATE: MAY 22, 2002	$\mathcal{N}_{\mathcal{I}}$					
THREE EMBARCADERO CENTER, SUITE 1	800	Ht 1 // ()					
SAN FRANCISCO, CA 94111	BY: ////////////////////////////////////	(47//WO//C					
TELEPHONE: (650) 849-4950 ANTOINETTE F. KONSKI (REG. NO. 34,202)							
FACSIMILE: (650) 849-4800							
	RTIFICATE OF MAILING BY "FIRS						
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing							
Parts; Commissioner for Patents, Washington, D.C. 20231 on this date: May 22, 2002.							
By: Name of person signing: Peggy Nichols							

PTO/SB/17 (09-01)
PTO/SB/17 (09-01)
DEPONDED TO USE through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL FOR FY 2002

COPY OF PARE TO OBJECT STANDING Complete if Known

Application Number 10/079,699

Filling Date February 19, 2002

First Named Inventor Charles A. NICOLETTE

Examiner Name Unassigned

Group Art Unit 1614

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Attorney Docket No. | GZ

GZ 2104.20

METHOD OF PAYMENT			FE	E CALCI	JLATION (continued)	
1. 🗷 The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADD	ITIONAL F	FEES			
Deposit Account Number	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account McCutchen Doyle Brown & Enersen LLP						 -1
Name	105	130	205	65	Surcharge - late filing fee or oath	130 00
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification	
2. Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920°	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after	
	115	110	215	55	Examiner action Extension for reply within first month	
1. BASIC FILING FEE				200	Extension for reply within second	
Large Entity Small Entity	116	400	216	200	month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	117	920	217	460	Extension for reply within third month	
Paid	118	1,440	218	720	Extension for reply within fourth month	
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	330	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	330	220	160	Filling a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing Petition to institute a public use	
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	proceeding	
	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Fee from Fee Paid Claims below	143	460	243	230	Design issue fee	
Total Claims * - 20** = * x = \$	144	620	244	310	Plant issue fee	<u> </u>
Independent _ 3** = * x = \$ Claims	122	130	122	130	Petitions of the Commissioner	
Multiple Dependent = \$	123	50	123	50	Petitions related to provisional applications	:
	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claims, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 "Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	
"Reissue claims in excess of 20 and					•	
over original patent	Other fe	e (specify)				
SUBTOTAL (2) (\$)	*Poduce	ed by Basic I	Filing Fee 5	Paid	SUBTOTAL (3) (\$	130.00
** or number previously paid, if greater; For reissues, see above.	Reduce	ou by masic i	mily ree i	alu		
SUBMITTED BY Complete (if applicable)						
		gistration N		34,202	Telephone, (650) 849	4950
Name (Print/Type) Antoinette F Konski	/ (Att	omey/Age	nt)			743

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Charles A. NICOLETTE

Serial No.: 10/079,699

Filing Date: February 19, 2002

For: PAR-3 COMPOUNDS FOR THERAPY AND DIAGNOSIS AND METHODS

FOR USING SAME

Examiner: Unassigned

Group Art Unit: Unassigned

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Genzyme Corporation, a Massachusetts corporation certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. An assignment from the inventor of the parent application identified above. The assignment is being filed under separate cover and a copy thereof is attached.

OR

- B. \square A chain of title from the inventor(s), of the patent application identified above, to the current assigned as shown below:
 - 1. From: * To: *

The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

2. From: * To: *

The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

3. From: * To: *

The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.